DfT Self Assessment Process

Department for Transport (DfT) funding will have an incentivisation element from 16/17, £578M of the £6Bn available from 16/17 to 20/21 will be distributed according to each authorities self assessed performance in the following areas:

Asset Management Policy and Strategy Resilience Customer Benchmarking and Efficiency Operational Service Delivery

In 15/16 all authorities have received their formula share of the £578M, the incentivisation element from 16/17 will be distributed according to the self assessment returns with all authorities banded according to their returns, the three bands are:

Band 1: Has a basic understanding of key areas and is in the process of taking it forward

Band 2: Can demonstrate that outputs have been produced that support the implementation of key areas that will lead towards improvement

Band 3: Can demonstrate that outcomes have been achieved in key areas as part of a continuous improvement process

The incentive element of the funding will be incrementally distributed to authorities according to the below percentages:

Year	2015/16	2016/17	2017/18	2018/19	2019/20	2020/21
Band 1	100%	90%	60%	30%	10%	0%
Band 2	100%	100%	90%	70%	50%	30%
Band 3	100%	100%	100%	100%	100%	100%

The allocation of DfT funding for CYC is shown below, the 18/19 figures onwards are indicative, all figures are the needs based only element and are not inclusive of the incentive fund.

2015/16 £2.270m 2016/17 £2.081m 2017/18 £2.018m 2018/19 £1.827m 2019/20 £1.827m 2020/21 £1.827m

Our early calculations suggest 100% of our incentivisation element would be c. £121k (based on the 16/17 allocation).

We have carried out the 'dry run' of the DfT questionnaire and this will be followed by the formal return in November where we will need to fully evidence all of our answers and get S151 officer sign off. DfT will validate a number of applications but they have stated that they will not be routinely checking returns.

We are classed as a Band 1 authority following this process, we have worked with the Yorkshire Alliance on this and all 6 authorities are classed as Band 1, however, we all think we are <u>operating</u> at a Band 2 level but due to the way in which the questions are posed we can only confidently evidence Band 1. We have began to feed this back to DfT and they clearly expected more authorities to be reporting performance in higher bands, this may cause them to re-look at some of the questions.

We believe with some minor changes and review of our operations that we can easily reach band 2 and an action plan will be developed to identify how we do this, our work with the Yorkshire Alliance will also develop a range of outputs that will aid this. Attainment of band 3 require wider review and consideration of the resource required to achieve this.